

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bolik et al.

Serial No.:

09/883,113
~~09/833,113~~

Filed:

OCT 03 2001

June 15, 2001

For:

CASCADING FAILOVER OF A DATA MANAGEMENT
APPLICATION FOR SHARED DISK FILE SYSTEMS IN
LOOSELY COUPLED NODE CLUSTERS

Art Unit:

2171

Examiner:

Not yet assigned

Attorney Docket:

DE920000061US1

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed June 15, 2001. This Information Disclosure Statement is being filed:

___ Within three (3) months of the filing date of the national application;

___ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

XXX Before the mailing date of a first Office Action on the merits;

___ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

RECEIVED

OCT 11 2001

IC 3700 MAIL ROOM

RECEIVED

OCT 05 2001

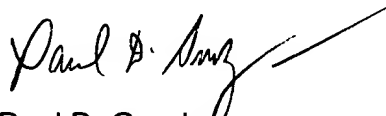
Technology Center 2100

- _____ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- _____ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and
- _____ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(l)(1).

We are also enclosing a copy of the following article: "Data Management API: the standard and implementation experiences," Alex Miroshnichenko, AUUG, 1996.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



October 1, 2001

Paul D. Greeley
Reg. No. 31,019
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682
(203) 327-4500

